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Relationship between entropy and SNR changes in image enhancement



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Abstract

There are many techniques of image enhancement. Their parameters are traditionally tuned by maximization of SNR criterion, which is unfortunately based on the knowledge of an ideal image. Our approach is based on Hartley entropy, its estimation, and differentiation. Resulting gradient of entropy is estimated without knowledge of ideal images, and it is a subject of minimization. Both SNR maximization and gradient magnitude minimization cause various settings of the given filter. The optimum settings are compared, and their differences are discussed.

Keywords: Entropy, Signal-to-noise ratio, Robust signal-to-noise ratio, Filter design optimization

1 Introduction

In many different fields, image quality measurement is important for various image processing tasks. Traditional tasks as image enhancement [1, 2], sharpening, and smoothing are solved by digital filters of various types and parameter settings. Filter performance can be compared by different image quality assessment techniques [3, 4]. Image quality measure signal-to-noise ratio (SNR) [5] or its modifications are the most commonly used to compare filter performance [6-8]. SNR measure is based on the knowledge of referential image which is a kind of Full-Reference Image Quality Assessment. However, the original image is not available in real-world tasks. Therefore, No-Reference Image Quality Assessment (NR-IQA) technique [9, 10] must be used to measure image quality. Our approach is focused on relationship between SNR and Hartley entropy. In this paper, a novel NR-IQA method based on image entropy is introduced and verified on image dataset. Alternative approach focused on motion estimation and parallel computing is included in [11, 12].

2 Methods

2.1 Quality measures

A digital image is a 2D discrete signal obtained by a sampling process of analogous 2D signal. A digital image will be denoted by real function $x(n_1, n_2)$ which describes

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¹Department of Computing and Control Engineering, University of Chemistry and Technology, Prague, Technická 5, 166 28 Prague, Czech Republic Full list of author information is available at the end of the article image amplitude at an integer coordinate position (n_1, n_2) . Image quality can be measured by standard measures as mean squared error or SNR. However, both mentioned measures are based on the knowledge of original image. Other measures must be used when original image is not known. Founding a relationship between SNR and entropy allows us to use also entropy as image quality measure.

2.1.1 Signal-to-noise ratio

The SNR is an image property comparing the ratio of signal power to noise power. The SNR measure can be used to analyze image quality. The estimation of SNR is based on knowledge of original undegraded image $s(n_1, n_2)$. The SNR of input noisy image $x(n_1, n_2)$ is calculated in the spatial domain as

$$SNR_{x} = 10 \log_{10} \frac{E\left[s(n_{1}, n_{2})^{2}\right]}{E\left[\left(x(n_{1}, n_{2}) - s(n_{1}, n_{2})\right)^{2}\right]}$$
(1)

with $E[\cdot]$ standing for an expected value. The SNR of improved image $y(n_1, n_2)$ is

$$SNR_{y} = 10 \log_{10} \frac{E\left[s(n_{1}, n_{2})^{2}\right]}{E\left[\left(y(n_{1}, n_{2}) - s(n_{1}, n_{2})\right)^{2}\right]}.$$
 (2)

The traditional improving measure $\triangle SNR$ is defined as a difference between SNR_y and SNR_x , and it allows to compare filter performances.

$$\Delta SNR = 10 \log_{10} \frac{E\left[(x(n_1, n_2) - s(n_1, n_2))^2 \right]}{E\left[\left(y(n_1, n_2) - s(n_1, n_2) \right)^2 \right]}.$$
 (3)



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Positive \triangle *SNR* value expresses the improvement of noisy image after its reconstruction. On the other hand, negative value expresses noisy image degradation.

2.1.2 Robust signal-to-noise ratio

Measure ΔSNR compares squared error of image intensities. Image filtering can cause intensities shifting or scaling, which will automatically decrease image quality measure ΔSNR . Therefore, we introduce robust version of ΔSNR designated as ΔR . Definition of ΔR is similar to ΔSNR but ΔR compares squared errors of statical rank

$$\Delta R = 10 \log_{10} \frac{\mathrm{E}\left[(\mathrm{R}(\mathrm{x}(n_1, n_2)) - \mathrm{R}(\mathrm{s}(n_1, n_2)))^2 \right]}{\mathrm{E}\left[\left(\mathrm{R}(\mathrm{y}(n_1, n_2)) - \mathrm{R}(\mathrm{s}(n_1, n_2)) \right)^2 \right]}, \quad (4)$$

where $R(\cdot)$ is a rank function [13] returning the rank of a pixel intensity inside an image. This measure is shift and scale invariant, but its time complexity is greater than time complexity of ΔSNR due to embedded sorting.



Entropy is well known as a measure in statistical thermodynamics and information theory. We use entropy as a measure for image quality. To estimate image entropy, we use entropy estimation algorithm described in [14, 15]. Let $n \in \mathbb{N}$ be the number of image pixels, $x_k \in [0, 1]$ be the intensity of *k*th pixel for $k = 1, \dots, n$, and $\varepsilon \in (0, 0.5]$ be the width parameter. Hartley entropy [16] (in nats) can be estimated as

 $\log_{10} \rho$

Fig. 3 Quality of Φ_1 sharpening as Δ *SNR* and *G* for MAP image

$$\hat{H}(\varepsilon) = \ln \frac{\mu(\mathcal{C})}{2\varepsilon},\tag{5}$$

where $\mu(\mathcal{C})$ is a measure of a set

$$C = \left(\bigcup_{k=1}^{n} (x_k - \varepsilon, x_k + \varepsilon)\right) \cap (0, 1).$$
(6)



The measure of a set $\mu(\mathcal{C})$ can be calculated as

$$\mu(\mathcal{C}) = x_{(1)} + x_{(n)} - 1 + \sum_{k=1}^{n-1} x_{(k+1)} - x_{(k)} - 2\varepsilon, \quad (7)$$

where $x_{(1)} \leq x_{(2)} \leq \cdots \leq x_{(n)}$. Supposing, a reconstructed image is the result of any filter application with parameters $\mathbf{p} \in (\mathbb{R}_0^+)^q$ where $q \in \mathbb{N}$ is a number of parameters.

The novel characteristic which helps to optimize digital filter design is the component wise maximum of Hartley entropy gradient

$$G = \max_{i=1,\cdots,q} \frac{\partial H(\mathbf{p})}{\partial p_i} \tag{8}$$

that should be minimum possible which is the main supposition and matter of novel approach. The G criterion design is motivated as follows. When the filter has only one parameter (q = 1), we minimize $\partial \hat{H} / \partial p < 0$. Therefore, we obtain inflection point of $\hat{H}(p_1)$ for value of p_1 where the Hartley entropy rapidly decreases. The generalization for $q \in \mathbb{N}$ is based on minimax approach when we minimize the maximal parameter sensitivity $\partial \hat{H} / \partial p_i < 0$ over all tuning parameters. Whenever any $\partial \hat{H} / \partial p_i \geq 0$,

Table 1 Optimal low-pass smoothing Φ_1 via Δ *SNR* maximization

Imago	Quality mea	Parameter	
linage	Δ SNR	ΔR	$\log_{10} ho$
THISTLE	3.897	3.990	-0.059
HOUSE	3.308	3.604	0.131
MAP	4.706	3.324	-0.053
WINDMILL	9.014	7.632	0.184
BRIDGE	4.578	3.839	-0.140
BALCONY	5.916	4.398	-0.032

2.1.3 Hartley entropy

5

4.5

3.5

3 ΔSNR [dB]

2.5

2

1.5

0.5

C -1 -0.8 -0.6 -0.4 -0.2 0 0.2 0.4 0.6 0.8

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 ΔSNR

-0.05

-0.1

-0.15

-0.2 ඊ

-0.25

-0.3

-0.35

-0.4



Image	Quality meas	ures	Parameters		
	Δ SNR	ΔR	$\log_{10} \alpha$	$\log_{10} ho$	
THISTLE	3.881	3.968	-2.203	-0.074	
HOUSE	3.284	3.582	-2.159	0.102	
MAP	4.705	3.306	-2.520	-0.062	
WINDMILL	8.818	7.054	-2.124	0.089	
BRIDGE	3.943	3.603	-1.417	-0.238	
BALCONY	5.835	4.361	-1.802	-0.054	

Table 2 Optimal sharpening Φ_2 via Δ *SNR* maximization

we set G = 0. The partial derivative of Hartley entropy $\hat{H}(\mathbf{p})$ with respect to the variable p_i can be approximated by finite differences

$$\frac{\partial \hat{H}(\mathbf{p})}{\partial p_i} \approx \frac{\hat{H}(\dots, p_i + h, \dots) - \hat{H}(\dots, p_i - h, \dots)}{2h}, \quad (9)$$

where spacing h > 0 approaches zero and $i \in \{1, \dots, q\}$.

2.2 Linear filter primer

We have to introduce sharpening filters that will be used for studying relationship between SNR and entropy changes in image enhancement. Our interest [15] is focused only on linear infinite impulse response (IIR) filters [17] with radial symmetry in frequency domain whose response can be easily calculated by the Discrete Fourier Transform [18] (DFT). Their advantage is in the side effect suppression of a rectangular grid.

Let $\omega = \|\boldsymbol{\omega}\|_2, \boldsymbol{\omega} \in \mathbb{R}^2$ be the angular frequency and $\rho > 0$ be the radius. The radial filter has transfer function $F(\boldsymbol{\omega}) = \Phi(\boldsymbol{\omega})$. Useful low-pass (LP) filter is a Gaussian filter [19] as traditional one

$$\Phi_1(\boldsymbol{\omega}) = \exp(-\rho^2 \omega^2/2). \tag{10}$$

The simplest sharpening filter based on Gaussian filter with sharpening parameter $\alpha > 0$ and its generalization include

Table 3 Optimal sharpening ${m \Phi}_3$ via Δ *SNR* maximization

Imago	Quality measures		Parameters				
inage	Δ SNR	ΔR	$\log_{10} \alpha$	$\log_{10} ho_0$	$\log_{10} ho_1$	$\log_{10} ho_2$	
THISTLE	3.935	4.035	-0.137	-0.099	-0.217	-0.313	
HOUSE	3.273	3.501	-0.077	-0.005	-0.100	-0.161	
MAP	4.739	3.343	-0.148	-0.167	-0.079	-0.250	
WINDMILL	8.706	6.833	-0.075	-0.012	-0.037	-0.162	
BRIDGE	4.811	4.100	-0.230	-0.001	-0.491	-0.223	
BALCONY	6.029	4.484	-0.621	-0.012	-0.481	-0.216	

$$\Phi_2(\boldsymbol{\omega}) = LP(\boldsymbol{\omega}) + \alpha(1 - LP(\boldsymbol{\omega})), \tag{11}$$

$$\Phi_3(\boldsymbol{\omega}) = \mathrm{LP}_0(\boldsymbol{\omega}) + \alpha(\mathrm{LP}_1(\boldsymbol{\omega}) - \mathrm{LP}_2(\boldsymbol{\omega})), \tag{12}$$

where LP(ω), LP₀(ω), LP₁(ω), and LP₂(ω) are four realizations of low-pass filter $\Phi_1(\omega)$. In the case of Φ_2 , only fundamental low-pass filter is used, but in Φ_3 , the difference between two low-pass filters (LP₁, LP₂) is used as high-pass filter added to the fundamental LP₀ filter in accordance with conventions of image processing. The filters Φ_1 (smoothing), Φ_2 , and Φ_3 (sharpening) will be subject of parameter optimization in the next section. The filter Φ_1 has only one parameter ρ which is an advantage for its optimization. The filters Φ_2 and Φ_3 have two (α , ρ) and four (α , ρ_0 , ρ_1 , ρ_2) parameters, respectively. Their tuning can be performed by any heuristics for multimodal function optimization. Both Φ_1 and Φ_2 quality measures (ΔSNR , G) can be easily visualized.

3 Results and discussion

The novel characteristic *G* was tested on real images with an additive noise. The role of filter parameters was investigated for $\log_{10} \rho \in [-1, 1]$, $\log_{10} \alpha \in [-4, 0]$, and $\log_{10} \rho_k \in [-1, 0]$ where $k \in \{0, 1, 2\}$ in the case of Φ_1 , Φ_2 , and Φ_3 . The Fast Simulated Annealing (FSA) [20] was used for ΔSNR maximization and *G* minimization inside given logarithmic ranges.

3.1 Test data

Four gray scale images (THISTLE, HOUSE, MAP, WIND-MILL) of size 450 × 400 and two gray scale images (BRIDGE, BALCONY) of size 375 × 282 pixels were chosen to demonstrate the relationship between ΔSNR and *G* criteria. All image intensities were transformed from their original range to the interval [0, 1] and were degraded by a box filter with squared mask of size 3 × 3 and then by Gaussian additive noise with $\sigma = 0.01$. The original images and results of their degradation are depicted in Figs. 1 and 2.

3.2 Image enhancement based on ΔSNR

The ΔSNR criterion was used for the optimization of filters Φ_1, Φ_2 , and Φ_3 as a reference. The dependency of ΔSNR on ρ is demonstrated on Fig. 3 for smoother Φ_1 and MAP image. The dependency of ΔSNR on α and ρ is depicted in Fig. 4 for sharpening filter Φ_2 and the same image. Similarly, Fig. 5 is showing the dependency of ΔSNR on α and ρ_0 of filter Φ_3 with $\rho_1 = 0.9$ and $\rho_2 = 0.2$. The numerical results of heuristics maximization are included in Tables 1, 2, and 3 for both traditional and referential approaches. Reconstructed images via Φ_3 with maximal ΔSNR are shown in Fig. 6.



3.3 Image enhancement based on G

The novel *G* measure was used for the optimization of filters mentioned above. The measure *G* was approximated by Eq. (9) with spacing $h = 10^{-12}$. Width parameter ε was set to value 0.01. The dependency of *G* on ρ is demonstrated on Fig. 3 for smoother Φ_1 and MAP image. The dependency of *G* on α and ρ is depicted in Fig. 7 for sharpening filter Φ_2 and the same image. For the last filter Φ_3 with $\rho_1 = 0.9$ and $\rho_2 = 0.2$, the dependency of *G* on α and ρ_0 is depicted in Fig. 8. The numerical results of heuristic minimization via FSA are included in Tables 4, 5, and 6 with adequate values of ΔSNR and ΔR . Reconstructed images via Φ_3 with minimal *G* are shown in Fig. 9.



3.4 Discussion

The proposed novel criterion G was minimized to obtain the optimal parameters of the three different filters tested on the real images. The quality of the optimal reconstruction was evaluated by the classical ΔSNR measure and our robust version ΔR . For a comparison, the same images were reconstructed by the filters whose optimal parameters were obtained by maximization of $\triangle SNR$. The relative changes RC between the qualities of the optimal reconstruction according to the filters Φ_1 , Φ_2 , and Φ_3 evaluated for the criterion $\triangle SNR$ and G are summarized in the Table 7. When comparing the results, it can be seen that the achieved results are similar. The most considerable changes in the quality measures were obtained for the filter Φ_2 settings providing significantly lower qualities but still improving image enhancement. The image intensities reconstructed by the filter Φ_2 and proposed criterion G are shifted or scaled which results from the large values of the relative changes with respect to the quality measure $\Delta SNR.$

4 Conclusions

The novel No-Reference Image Quality Assessment method and adequate criterion were introduced in this paper. It is based on the Hartley entropy estimation from gray-level densities and the optimization of its changes during tuning of filter parameters. Three types of linear image filters with various number of parameters were optimized by using traditional SNR criterion as a reference, first. Using novel criterion *G* and its minimization, similar results of comparable SNR quality were obtained without prior knowledge of ideal image. The novel procedure is directly applicable to real image enhancement.



Table 4 Optimal low-pass smoothing $\boldsymbol{\Phi}_1$ via *G* minimization

Image	Quality measures	Quality measures				
image	G	Δ SNR	ΔR	$\log_{10} ho$		
THISTLE	-0.126	3.862	3.898	-0.105		
HOUSE	-0.140	3.197	3.377	-0.020		
MAP	-0.360	4.613	3.133	-0.122		
WINDMILL	-0.106	8.471	6.464	0.021		
BRIDGE	-0.265	4.341	3.584	-0.242		
BALCONY	-0.308	5.764	4.159	-0.120		

Table 5 Optimal sharpening $\boldsymbol{\Phi}_2$ via *G* minimization

Image	Quality measures			Parameters	
	G	Δ SNR	ΔR	$\log_{10} \alpha$	$\log_{10} ho$
THISTLE	-0.126	2.563	3.882	-1.121	-0.111
HOUSE	-0.408	-1.710	1.275	-0.652	-0.472
MAP	-0.358	3.841	3.145	-1.203	-0.119
WINDMILL	-0.154	-5.113	3.567	-0.503	-0.237
BRIDGE	-0.259	-9.055	3.580	-0.308	-0.243
BALCONY	-0.315	5.405	4.197	-1.343	-0.111

Image	Quality measu	Quality measures			Parameters			
	G	Δ SNR	ΔR	$\log_{10} \alpha$	$\log_{10} ho_0$	$\log_{10} ho_1$	$\log_{10} ho_2$	
THISTLE	-0.025	3.869	3.900	-0.368	-0.284	-0.055	-0.895	
HOUSE	-0.005	3.274	3.502	-0.348	-0.027	-0.006	-0.168	
MAP	-0.077	4.608	3.199	-0.212	-0.310	-0.042	-0.666	
WINDMILL	-0.008	7.309	5.130	-0.065	-0.257	-0.176	-0.412	
BRIDGE	-0.005	3.596	2.859	-0.080	-0.329	-0.323	-0.927	
BALCONY	-0.044	5.728	4.164	-0.196	-0.390	-0.009	-0.630	

Table 6 Optimal sharpening $\boldsymbol{\Phi}_3$ via *G* minimization



 Table 7 The relative changes [%] between quality measures

	Φ_1		${oldsymbol{\Phi}}_2$		Φ_3	
Image	$RC_{\Delta SNR}$	$RC_{\Delta R}$	$RC_{\Delta SNR}$	$RC_{\Delta R}$	$RC_{\Delta SNR}$	$RC_{\Delta R}$
THISTLE	0.90	0.03	33.96	2.17	1.68	3.35
HOUSE	3.36	6.30	152.07	64.41	0.03	0.03
MAP	1.98	5.75	18.36	4.87	2.76	4.31
WINDMILL	6.02	15.30	157.98	49.43	16.05	24.92
BRIDGE	5.18	6.64	329.65	0.64	25.25	30.27
BALCONY	2.57	5.43	7.37	3.76	4.99	7.14
Mean	3.33	6.58	116.57	20.88	8.46	11.67

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Availability of data and materials

The dataset supporting the conclusions of this article is included within the article.

Authors' contributions

JK suggested the main ideas of the research and realized a part of algorithms. ZK realized a part of algorithms and performed the comparison between entropy and SNR. ZK and JK took part in writing and approved the final version of the manuscript.

Ethics approval and consent to participate

Not applicable.

Consent for publication

Not applicable.

Competing interests

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